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March-2016

SYBBI OP3ADB

Corp. Laws & Laws

gov. Cap. Mkts

Instructions:

1. All Questions are compulsory.
2. Marks to the right indicate full marks.

Total Marks: 75

Time allowed: 2 hours 30 minutes

Q1. Write a detailed note on Memorandum of Association. (15)

OR

Q1. A. Duties of an Auditor. (8)

B. Meaning and types of Charges. (7)

Q2. A. Explain post issue obligations under SEBI Act. (8)

B. Explain the objectives of SEBI. (7)

OR

Q2. A. Write a note on Management of SEBI (8)

B. Write a note on the General powers of SEBI. (7)

Q3. What do you mean by the concepts of Asset Securitisation and Enforcement of Security Interest? (15)

OR

Q3. A. Write a note on conditions for grant of recognition and withdrawal of recognition to a stock exchange. (8)

B. Give an introduction to SCRA, 1956. Specify its objects. (7)

Q4. Write a detailed note on Obligations of a Depository. (15)

OR

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Q4. A. Write a note on the provisions relating to an authorized person. (8)

B. Write a note on Arbitration Agreement. (7)

Q5. Short notes (Any 3) (15)

- a) CDSL
- b) Director's meeting
- c) Current account transaction
- d) 5 advantages of listing
- e) Capital clause.